

Combined Air Emissions Reporting System (CAERS) **Training** Preparers and Certifiers 3/23/2022

Goal of Training

Provide CAERS users with an understanding of:

- What is CAERS?
- User Roles
- System Requirements
- TRI-bound HAPS Data
- Timing of Submissions
- Registration Process
- Highlights of CAERS Features Live Demo
- Help and Resources Live Demo

A copy of this power point presentation will be available for distribution after the training.

Disclaimer

This training is intended for instructional purposes only. Any data or facility information shown in the training, is illustrative only, and should not be confused with a facility's live report for any given inventory year.



Combined Air Emissions Reporting System (CAERS) Welcome to CAERS Preparers and Certifiers 03/23/2022

What is CAERS?

Combined Air Emissions Reporting System (CAERS): an application that allows industry from subscribed State, Local, or Tribal authorities (or SLTs) to report their air emissions so you can:

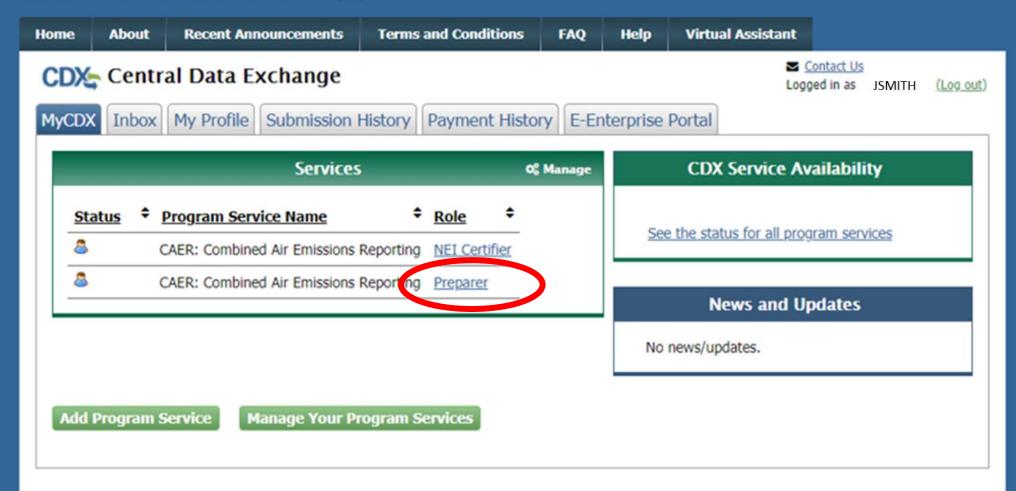
- Meet SLT-specific air emissions reporting requirements
- Report annually or triennially to Air Emissions Reporting Rule (AERR per 40 Code of Federal Regulations or CFR, Part 51) via your SLT.
- Optionally, get a head start on Toxics Release Inventory (TRI) air toxics emissions (per 40 CFR, Part 372), to be finished in TRI-MEweb

You should check with your SLT to determine if you meet the criteria. Facilities reporting to Georgia Department of Natural Resources (GADNR) will follow the additional opt-in process in CAERS.

CAER User Roles - Preparer

Is authorized to prepare an emissions report for that facility (e.g., consultant, staff person working for the facility).

- There may be more than one preparer for a facility (each with an account associated with the facility). If submitting HAPs data for TRI within CAERS, this could include the TRI preparer.
- There may be a single preparer for more than one facility (with an account associated with several facilities).
- A preparer cannot fulfill the same role as the Certifier, not authorized to certify and submit the report on behalf of the facility.



CDX Help Desk: 888-890-1995 | (970) 494-5500 for International callers

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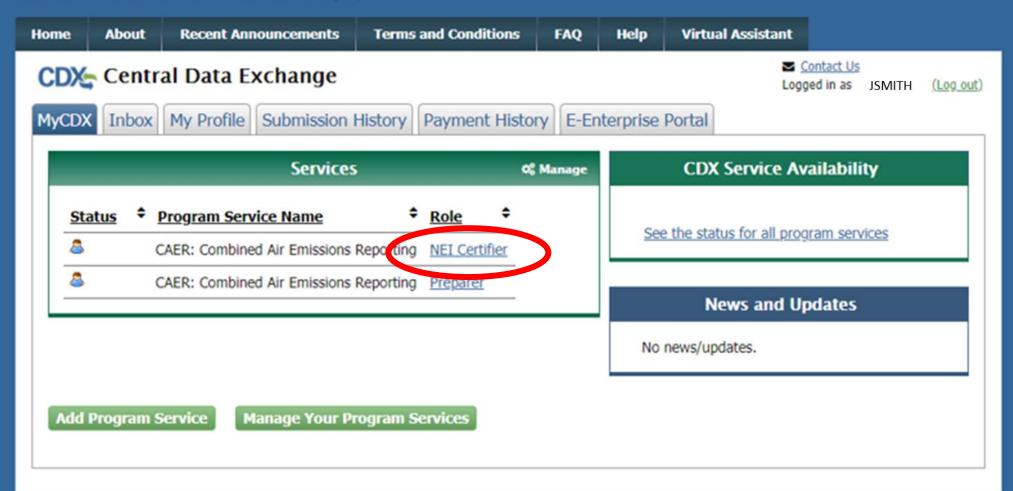


CAERS User Roles - Certifier

Can prepare the emissions report and is also authorized to certify the emissions report to meet your legal obligation for reporting to your SLT.

- There may be only one Certifier for a facility.
- There may be a single Certifier for more than one facility (multiple facilities associated with the certifier account).
- The Certifier can also be the preparer (only one CAERS account needed).

Total toxics air emissions are made available for TRI-MEweb once the facility has certified the report in CAERS. Certification of the report signals to CAERS that the report is complete, but it is not a certification of the TRI submission. Toxics air data is certified in TRI-MEweb, not CAERS.



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Establishing Preparer/Certifier Roles

Each SLT has its own process with its reporting facilities to establish who is preparer/certifier. If you have not heard from your SLT about this already, of if you are new and missed the relevant communication from them, you should reach out to them directly to the POC information listed at the end of this presentation. *Don't try to register in CDX until you've communicated with your SLT, to avoid further delays.*



What if I don't know who will certify yet? You will be able to begin the report, but you won't be able to send it to your SLT for review. As soon as you know who your certifier will be, let your SLT know, and then the new certifier should go to CDX to register.



What if our preparer or certifier leaves the company? Let your SLT know of the staff change. Then the new staff person should register in CDX per the above steps. The SLT will remove the old preparer/certifier in CAERS and your new staff should reach out to the SLT if the old preparer/certifier has not been removed within a reasonable amount of time.

Reporters to GADNR Only

For Georgia reporters only, every CAERS preparer/NEI certifier will be checked against info at GECO EI. If they are not matched, then a Georgia Reviewer will reach out to the facility to resolve the discrepancy. Otherwise, Georgia reviewer will remove the unmatched CAERS users from CAERS.

System Requirements

To use CAERS, you will need:

- A recent version of any internet browser such as Chrome, Explorer, Edge, or Firefox. Your internet connectivity will determine how fast data can be uploaded.
- Microsoft Excel: Bulk uploads will be in "XLSX".

For JSON upload instructions send an email to caer@epa.gov with your facility name and ID. Our CAER IT staff will be able to provide guidance.

TRI – bound HAPs Data

Optional HAPS/air toxics data entry in CAERS. CAERS adds data up to the facility totals, ready for pre-population in TRI-MEweb.

If not required by your SLT:

- SLT review of reported HAPS/air toxics air emissions not required
- Submission of HAPS/air toxics through CAERS.

How does this help facilities?

- Enter data into CAERS directly vs. separate workbooks for calculations then entered to TRI-MEweb.
- SLT review of your criteria pollutant data *before* your data gets to TRI-MEweb gives you the opportunity to correct any related toxics data before it is available in TRI-MEweb.
 - E.g., SLT finds throughput error for a unit/process affecting CO and NOX, that is also affecting your VOC calculations. As you correct that throughput, all affected emissions are corrected.
- We are encouraging facilities to submit HAPS data at process level in CAERS.
 - If HAPS aren't reported, EPA does HAP augmentation on your data which could be inaccurate.
 - By submitting their own HAPs emissions at process level in CAERS, facilities take ownership of their data.

Timing of Submissions

- TRI-MEweb is July 1st
 - NEI/TRI facilities should certify their NEI forms *before* you start a TRI-MEweb submission because data is pushed from CAERS to TRI-MEweb.
 - Early data submittal and SLT review allows us to keep data consistent across NEI and TRI programs.
- SLT deadline for submissions of Annual or Triennial reports.
 - Per your SLT deadline.
- Leave time for questions and resolution of issues and in case we need to address bugs.

Registrations: Include or delete registered Preparers and Certifiers **no later than two weeks after opening CAERS**.

Reporting: Start no later than one month before and submit no less than 2 weeks before the SLT deadline.

We want to avoid last minute submissions. Submit your data early!

Registration Process

Three major steps:

- 1. Register in CDX, if you are new to CDX (get access email)
- 2. Set up CAERS in CDX (if you did not report previously through CAERS)
- 3. Your SLT recognizes you and grants you entry to your facility in CAERS

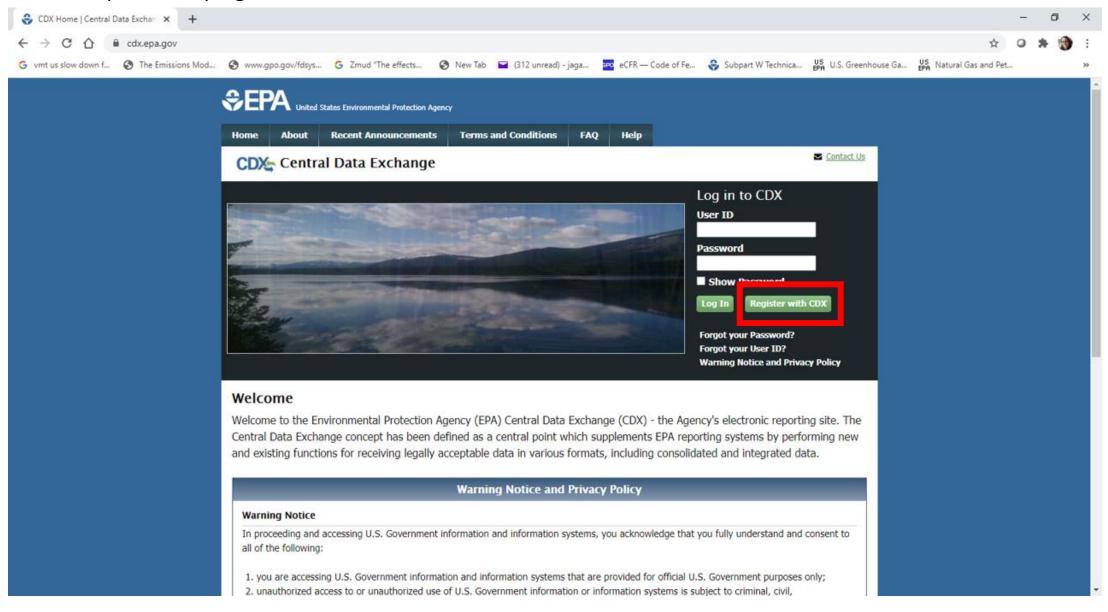
1. Register in CDX



If you already have a CDX account, you have already completed this part of the process and can move to "Step 2. Set up CAERS in CDX". You may be able to use the same Electronic Signature Agreement (ESA) in CDX that you use for other programs/systems in CDX. You may need additional ESAs for additional organizations you are associated with. You should reach out to the CDX help desk if you have questions about this.

Reporters to GADNR, even if you have a CDX account, you must register in GECO per slide 10.

Go to: https://cdx.epa.gov/



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The access and use of CDX Registration for the electronic submittal of environmental information require the creation of a user ID and password that I must maintain and keep confidential. I will review the following steps concerning the creation and maintenance of a user ID and password.

Warning Notice

In proceeding and accessing U.S. Government information and information systems, you acknowledge that you fully understand and consent to all of the following:

- 1. you are accessing U.S. Government information and information systems that are provided for official U.S. Government purposes only;
- 2. unauthorized access to or unauthorized use of U.S. Government information or information systems is subject to criminal, civil, administrative, or other lawful action;
- am this registrant. I will not share my account, and I accept the terms and conditions, above.

Proceed

Cancel

2. Set up CAERS in CDX







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CDX Core CDX Registration

1. Program Service

2. Role Access

3. User and Organization

4. Confirmation

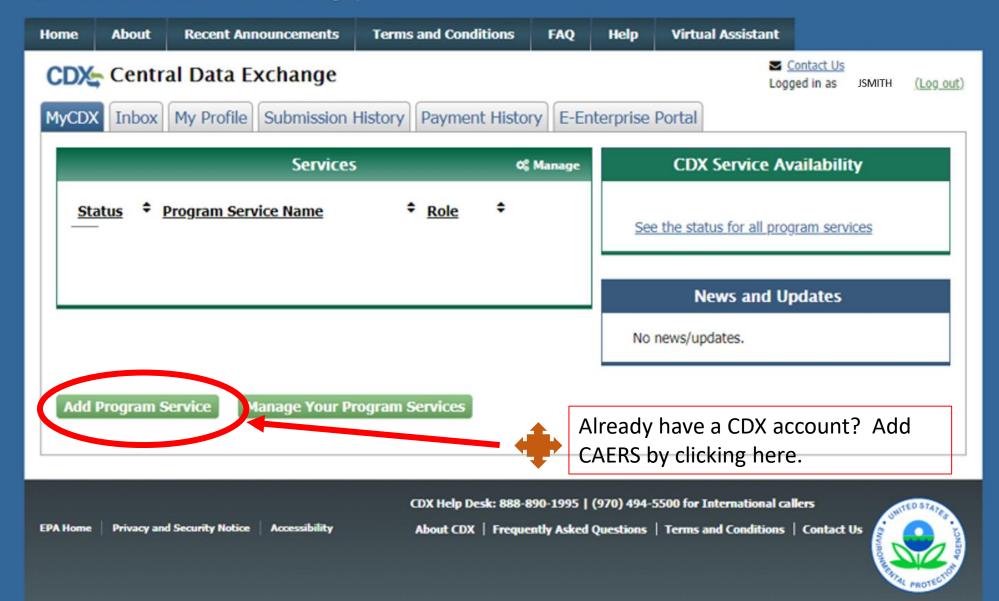
Begin typing a program service name or related keywords to filter the list of available services (e.g., air quality system, AQS, or Clean Air Act).

Active Program Services List

CAER

CAER: Combined Air Emissions Reporting

Cancel



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CDX Edit Account Profile

Contact Us Logged in as JSMITH

(Log out)

1. Program Service ✓

2. Role Access

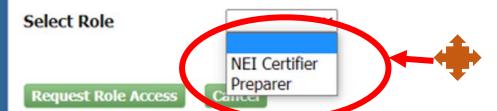
3. Organization Information

Registration Information

Program Service Combined Air Emissions Reporting

Role Not selected

Select a role from the drop down list and provide any required additional information, if applicable.



If you are both preparer and certifier for a facility, you only need to select "Certifier".

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Part 1: User Information The program you are registering for requires additional proof of identity. Later in the registration process you will be given the option to use LexisNexis, an identity proofing service. LexisNexis will pull your first, middle and last name exactly as it is entered on this page. User ID * jdoe.registration Title * Mr First Name * John Middle Initial Last Name * Doe Suffix -Please Select- v Password * ••••• Re-type Password * ******** Security Question 1 * What was your childhood nickname? Security Answer 1 * Security Question 2 * What school did you attend for sixth grade? Security Answer 2 *

Part 2: Organization Info

CGI Test

Search

Enter organization or organization ID

Organization ID	Organization Name	Address	City	State	ZIP Code
<u>21947</u>	CGI TEST	12601 FAIRLAKES PKWY	FAIRFAX	VI	22033

Can't find your organization? Use advanced search or request that we add your organization.

Part 2: Organization Info CGI TEST 12601 FAIRLAKES PKWY FAIRFAX, VI, US 22033 You will get an email. Check your email and spam folder. You need Email * john.doe@epa.gov the code in that email for the next Re-enter Email * john.doe@epa.gov set of steps. Phone Number * (337) 777-7777 Phone Number Ext Fax Number

Wrong organization information? Back to Search Results, Use advanced search or request that we add your organization.

Submit Request for Access



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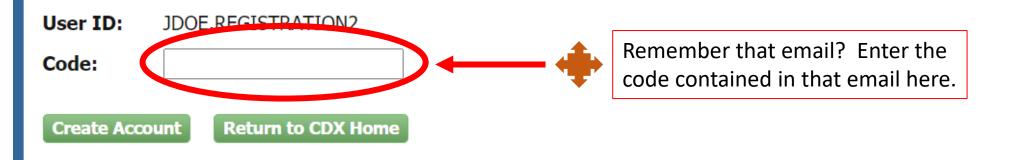
CDX Confirmation

1. Program Service ✓ 2. Role Access ✓ 3. User and Organization ✓ 4. Confirmation

A few more steps...

You will soon receive an email confirmation message at [joshua.martin@cgifederal.com] with a validation code. Enter the validation code below or follow the link provided in the email to activate your user account.

Resend validation code





Virtual Assistant

1. Identity Verification 2. ESA

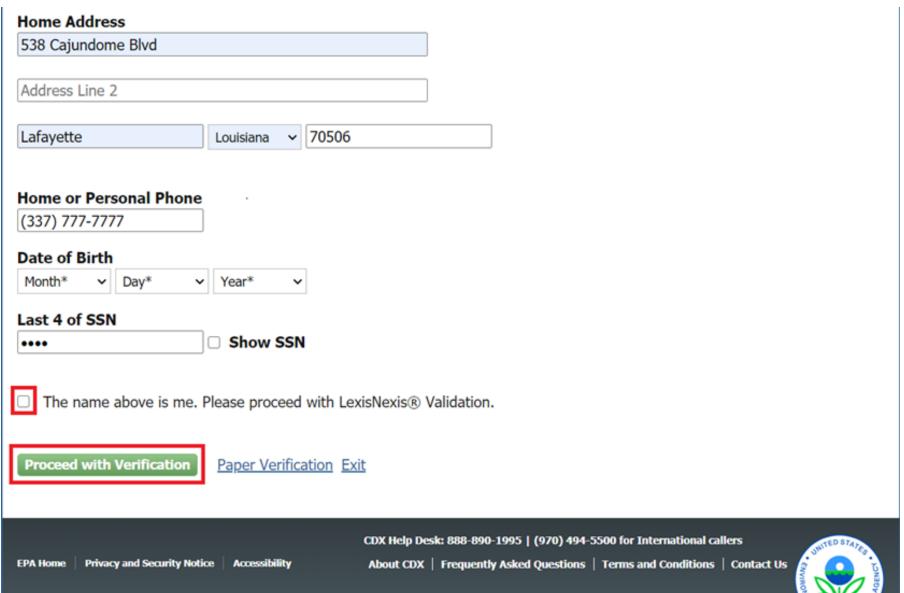
The program you are registering for requires additional proof of identity. Identity verification allows the EPA to confirm applicants have provided sufficient identity information in order to conduct business safely and securely. Your options are to use the electronic identity proofing service for immediate access to your program service or to print and submit a signed form through U.S. Postal Mail to the U.S. Environmental Protection Agency. **Note**: <u>Additional LexisNexis® Information</u>

FAQ

Help

LexisNexis® Instant ID

Legal Name		
john	p doe	
Home Address		
Address Line 1*		
Address Line 2		
City*	State* V Zip Code*	





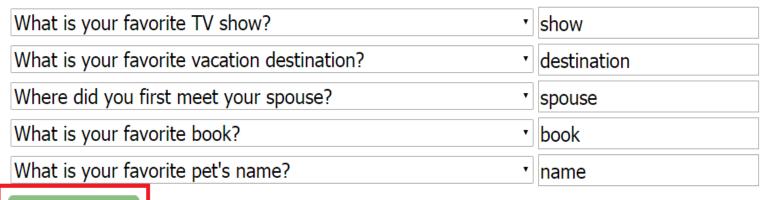
CDX Registration: Additional Verification

1. Identity Verification ✓

2. Electronic Signature Agreement

You are registered for a program that requires eSignature PIN Verification. Please select five (5) challenge questions and answers. The questions that you select should be questions that you can remember, but difficult for anyone else to guess.

Select 5 Challenge Questions and Answers



Save Answers

Electronic CDX Electronic Signature Agreement

The CDX electronic signature agreement (ESA) is an agreement between yourself and CDX that will authorize your electronic signature. By signing the ESA you agree to adhere to the conditions listed on the agreement below. Once the ESA has been signed, you will be authorized to sign and/or encrypt information for your data flow. For any questions regarding the CDX ESA, please contact the CDX Help Desk.

Signing an electronic document on behalf of another person is subject to criminal, civil, administrative, or other lawful action.

penalties for submitting false information, including the possibility of fine and imprisonment.

- (13) **Agree to protect the electronic signature credential**, consisting of my Central Data Exchange (CDX) user identification and password, from use by anyone except me. Specifically, I agree to maintain the secrecy of the password; I will not divulge or delegate my user name and password to any other individual; I will not store my password in an unprotected location; and I will not allow my password to be written into computer scripts to achieve automated login.
- (14) **Agree to immediately contact the U.S. EPA CDX Help Desk** after suspecting misuse of my account. I will contact the CDX Help Desk at 1-888-890-1995 as soon as possible, but no later than 24 hours, after suspecting or determining that my user name and password have become lost, stolen, compromise or used inconsistent with CDX Terms and Conditions at https://cdx.epa.gov/terms

PLEASE MAIL THIS DOCUMENT AS SOON AS POSSIBLE TO:

Name of electronic signature holder:

Signature:

Date:

Mr Joshua Martin

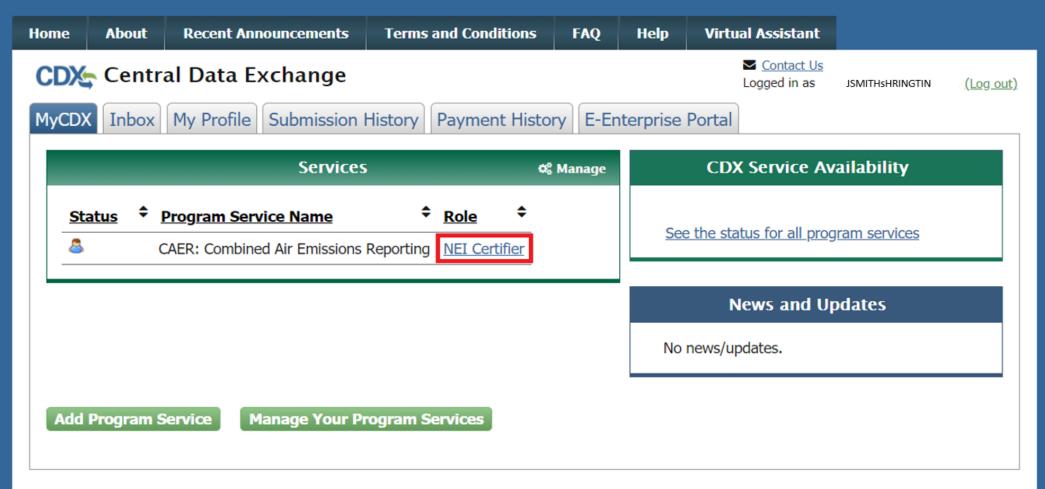
U.S. Environmental Protection Agency



<u>Cancel</u>



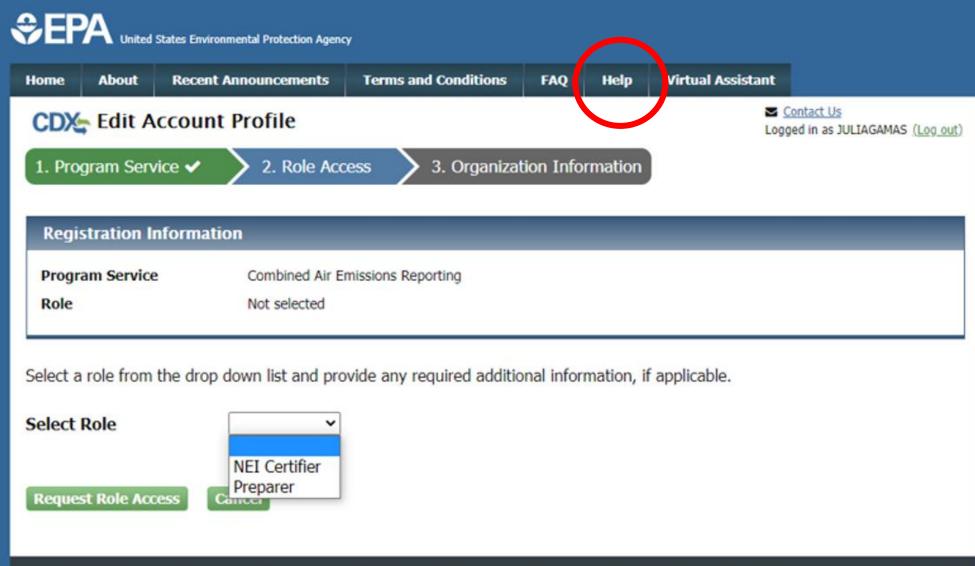




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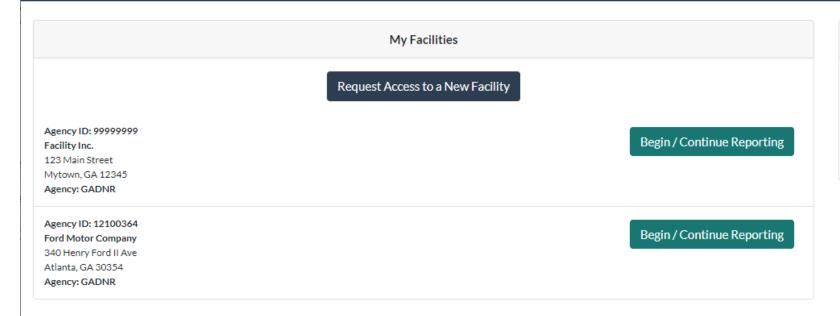




3. Receive Approval Notification from CAERS

With the previous steps completed your SLT will:

- Verify that your preparer and certifier contact information.
 - GADNR reporter information will be compared with user information in GECO.
- Approve your association with the correct facility(ies) in CAERS.
- Send you a confirmation email then you will be ready to begin reporting.



GADNR Announcement:

Georgia 2021 El is due on June 30, 2022.

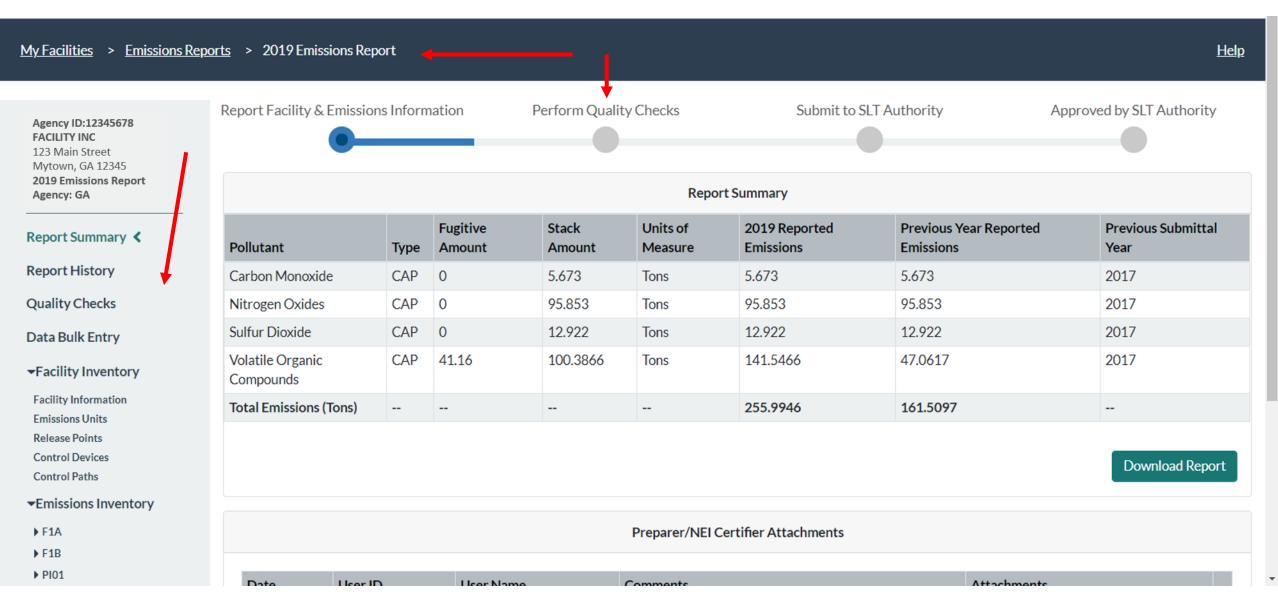
From this point, all facilities reporting to GADNR will go through the opt in process to be in the demo. Facilities not reporting to GADNR will start their reports. Aside from the live demo, please consult the User Guide for additional information.

Questions so Far?

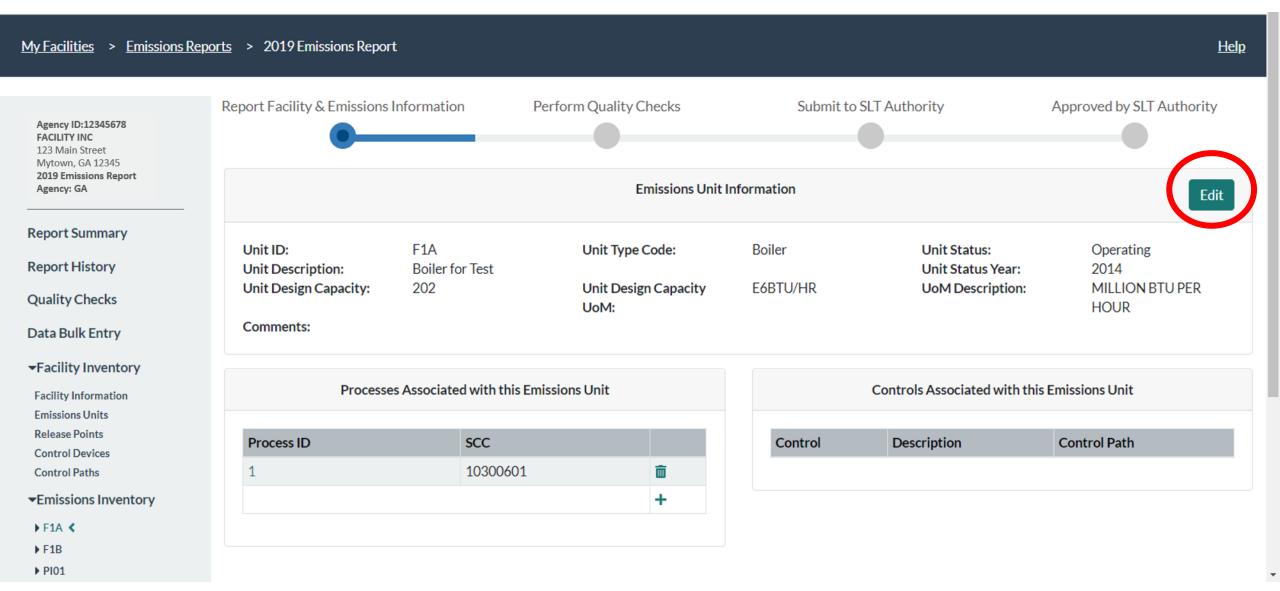
- Familiarize you with CAERS features but not exhaustive
- Detailed trainings on specific aspects of CAERS are forthcoming
- Go to the User Guide for more information (will be updated for March release)

- Three options for data entry:
 - User interface

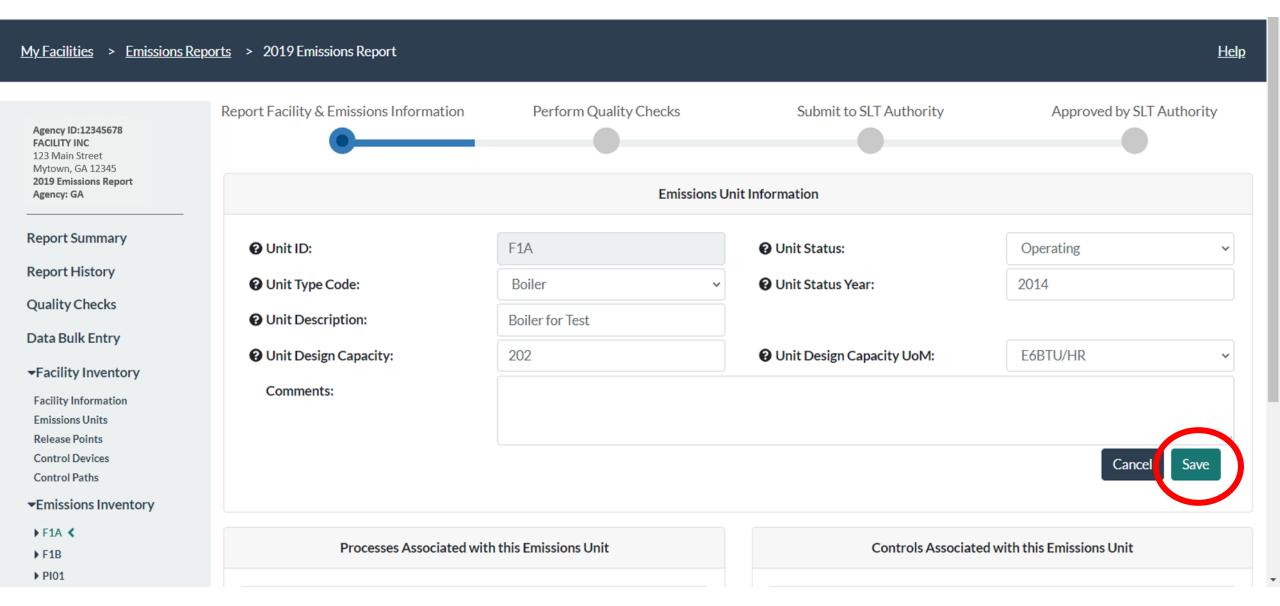
CAERS Main Report Page



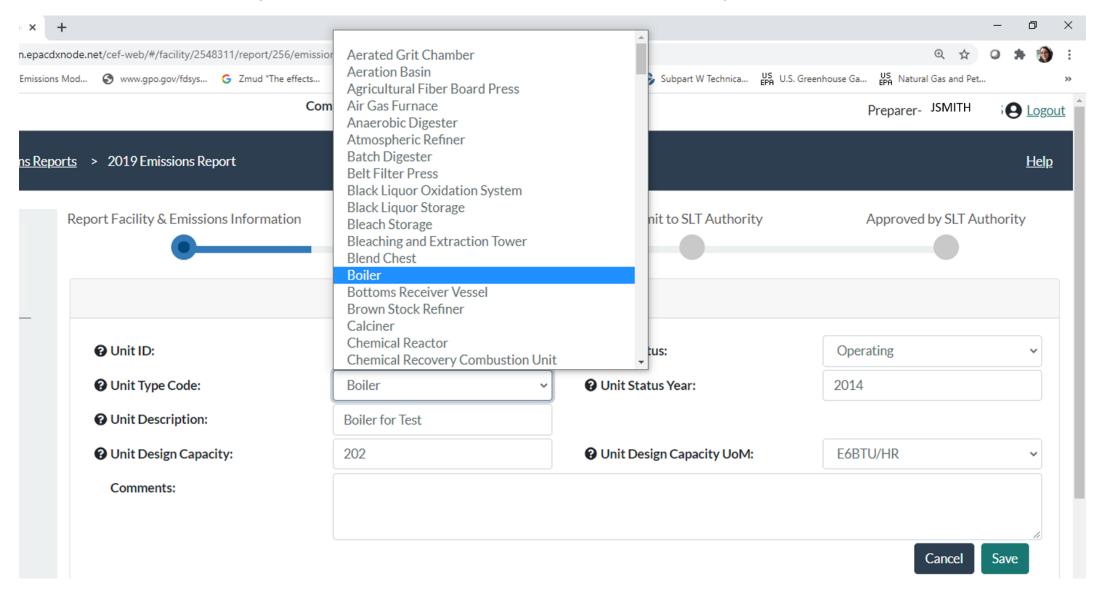
CAERS Emissions Unit Information Page



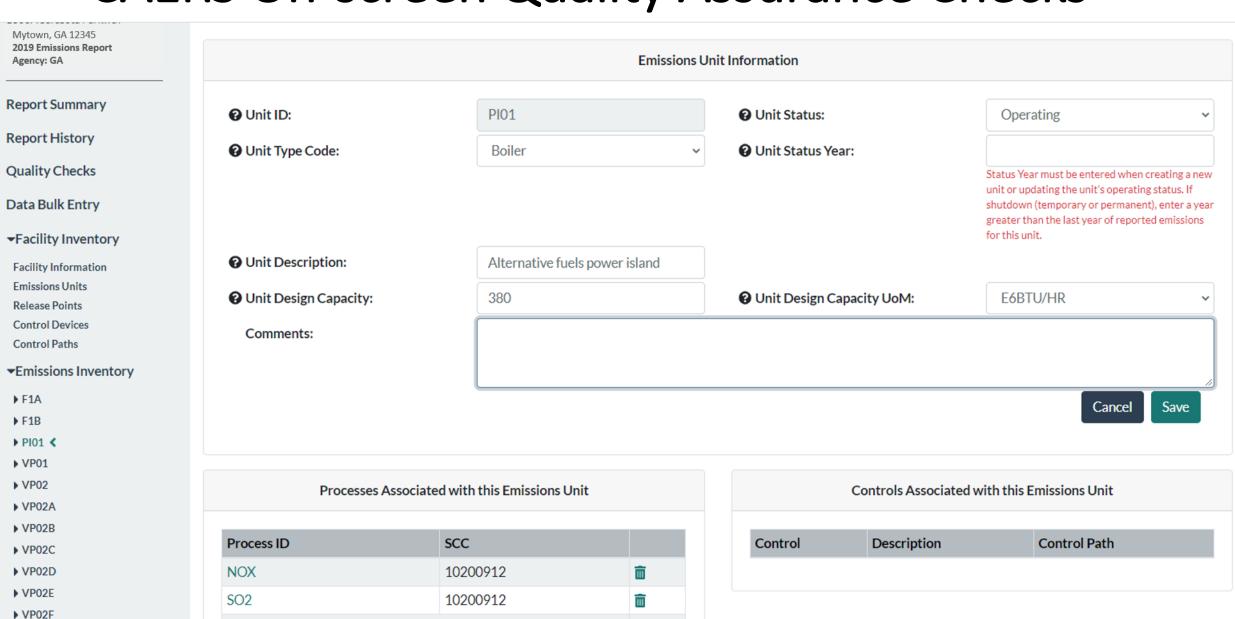
CAERS Emissions Unit Information Edit Page



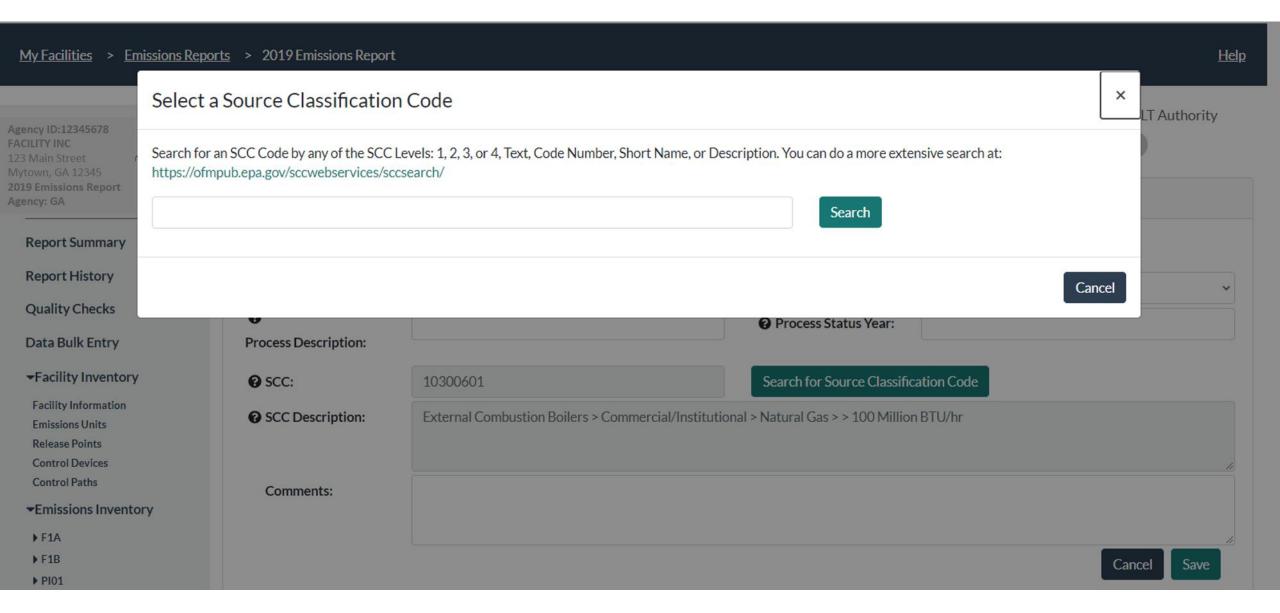
CAERS Drop Down Menu Example



CAERS On-screen Quality Assurance Checks



CAERS Search Pop-Up Window



- Three options for data entry:
 - User interface
 - Bulk entry

CAERS Throughput Bulk Entry

Mytown, GA 12345 2019 Emissions Report Agency: GA

Report Summary

Report History

Quality Checks

Data Bulk Entry <

▼Facility Inventory

Facility Information

Emissions Units

Release Points

Control Devices

Control Paths

▼Emissions Inventory

▶ F1A

▶ F1B

▶ PI01

▶ VP01▶ VP02

▶ VP02A

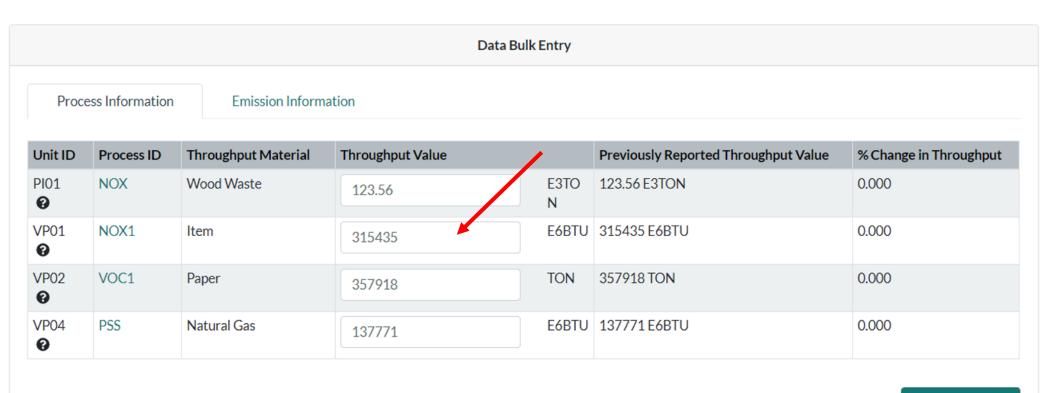
▶ VP02B

▶ VP02C

▶ VP02D

▶ VP02E

▶ VP02F



Save and Update

CAERS Total Emissions Bulk Entry

Mytown, GA 12345 2019 Emissions Report Agency: GA

Report Summary

Report History

Quality Checks

Data Bulk Entry <

▼Facility Inventory

Facility Information

Emissions Units

Release Points

Control Devices

Control Paths

▼Emissions Inventory

F1A

▶ F1B

▶ PI01

▶ VP01

▶ VP02

▶ VP02A

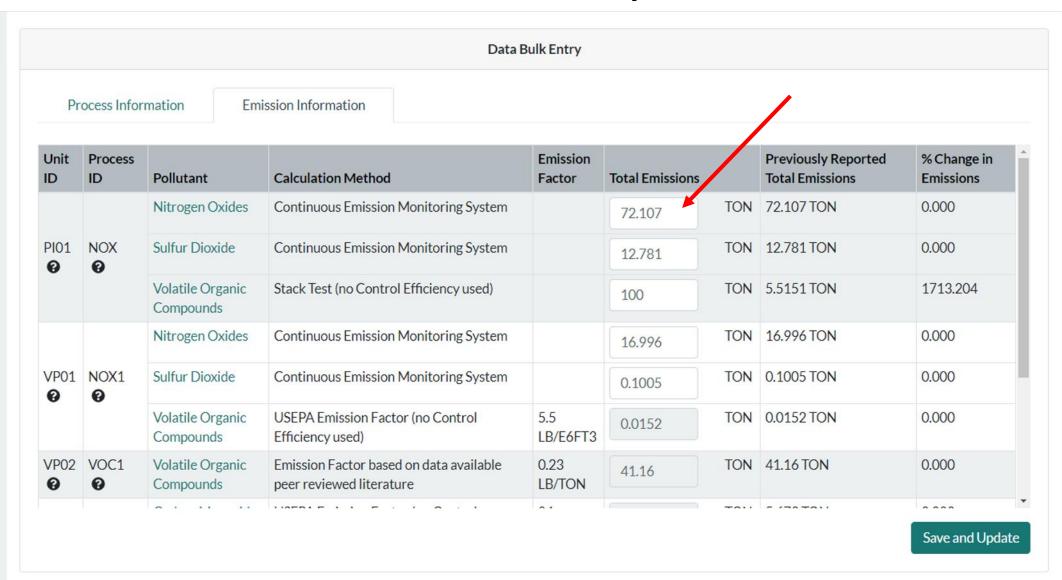
▶ VP02B

▶ VP02C

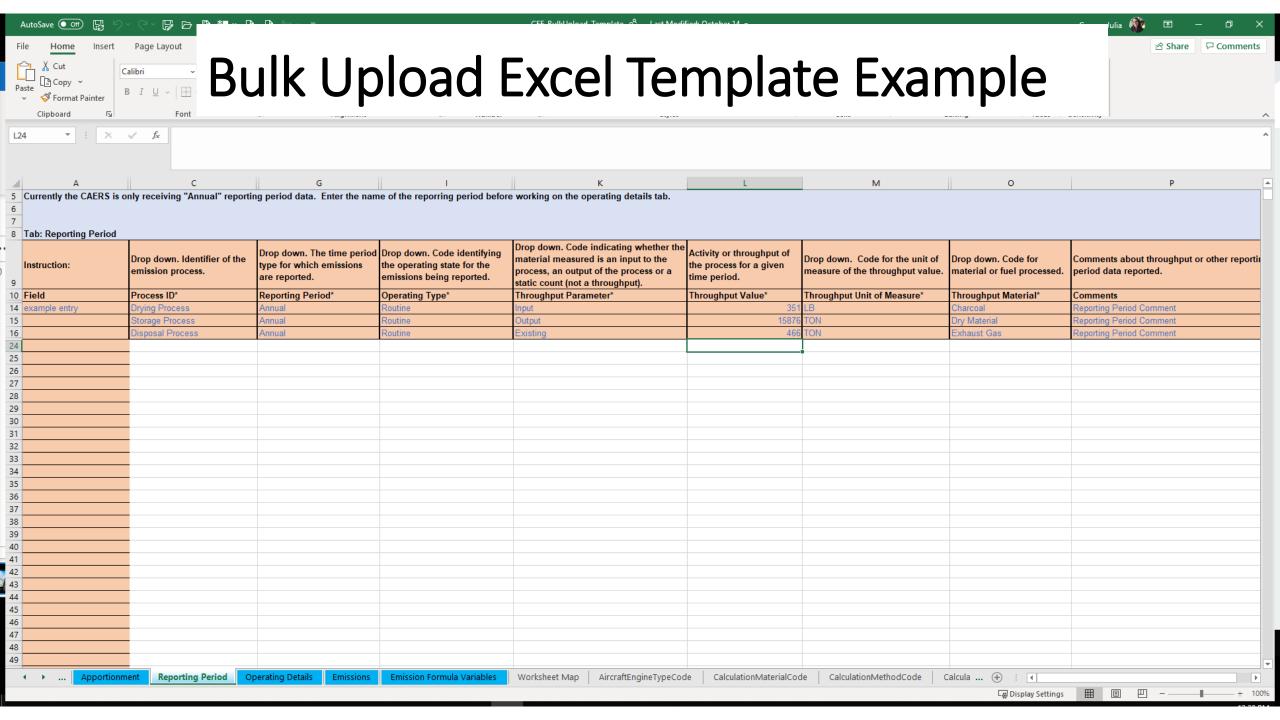
▶ VP02D

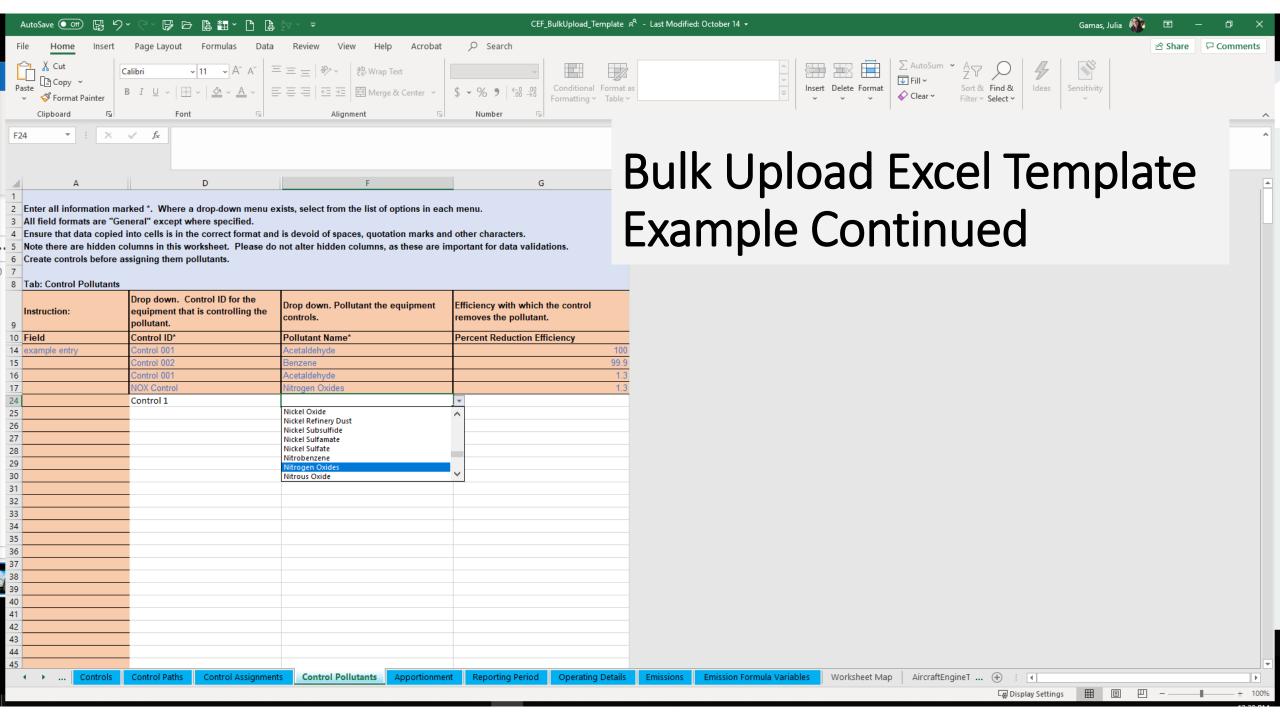
▶ VP02E

VP02F



- Three options for data entry:
 - User interface
 - Bulk entry
 - Bulk upload
 - Via preformatted
 - Via JSON upload (email <u>caer@epa.gov</u> with questions)





- Three Options for Data Entry
- Previous Year Data

CAERS Emissions Reports Page

My Facilities > Emissions Reports

Agency ID:12345678 FACILITY INC 123 Main Street Mytown, GA 12345 2019 Emissions Report Agency: GA



- Three Options for Data Entry
- Previous Year Data
- Bulk Download into Pre-formatted Excel Template

CAERS Emissions Reports Prepopulated Template Download

<u>My Facilities</u> > Emissions Reports

Agency ID:12345678 FACILITY INC 123 Main Street Mytown, GA 12345 2019 Emissions Report Agency: GA

Emissions	s Reports
2019 Report	Upload Report Continue Download as Template Delete
2017 Report	Upload Report Continue Download as Template Delete

- Three Options for Data Entry
- Previous Year Data
- Bulk Download into Pre-formatted Excel Template
- File Attachments

CAERS Document Attachment Page

Report Summary <

Report History

Quality Checks

Data Bulk Entry

▼Facility Inventory

Facility Information

Emissions Units

Release Points

Control Devices

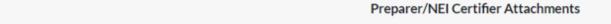
Control Paths

▼Emissions Inventory

- ▶ F1A
- ▶ F1B
- ▶ PI01
- ▶ VP01
- ▶ VP02
- ▶ VP02A
- ▶ VP02B
- ▶ VP02C
- ▶ VP02D
- ▶ VP02E
- ▶ VP02F
- ▶ VP04

Pollutant	Туре	Fugitive Amount	Stack Amount	Units of Measure	2019 Reported Emissions	Previous Year Reported Emissions	Previous Submittal Year
Carbon Monoxide	CAP	0	5.673	Tons	5.673	5.673	2017
Nitrogen Oxides	CAP	0	95.853	Tons	95.853	95.853	2017
Sulfur Dioxide	CAP	0	12.922	Tons	12.922	12.922	2017
Volatile Organic Compounds	CAP	41.16	100.3866	Tons	141.5466	47.0617	2017
Total Emissions (Tons)					255.9946	161.5097	

Download Report



Date User ID User Name Comments Attachments



Run Quality Checks

- Three Options for Data Entry
- Previous Year Data
- Bulk Download into Pre-formatted Excel Template
- File Attachments
- Report History & Log

CAERS Report History Page

Agency ID:12345678 FACILITY INC

123 Main Street Mytown, GA 12345 2019 Emissions Reporet Agency: GA

Report Summary

Report History <

Quality Checks

Data Bulk Entry

▼Facility Inventory

Facility Information

Emissions Units

Release Points

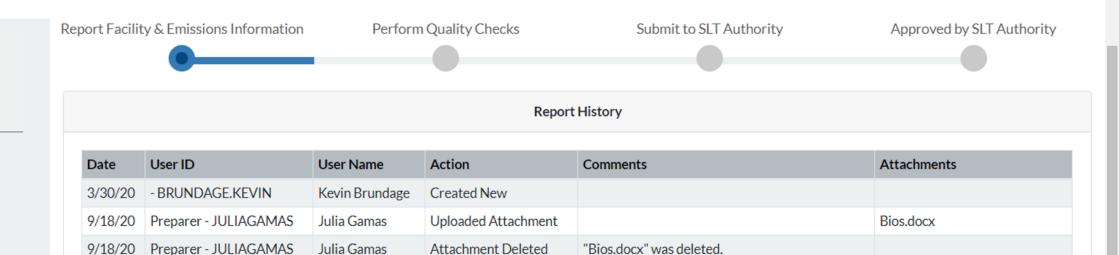
Control Devices

Control Paths

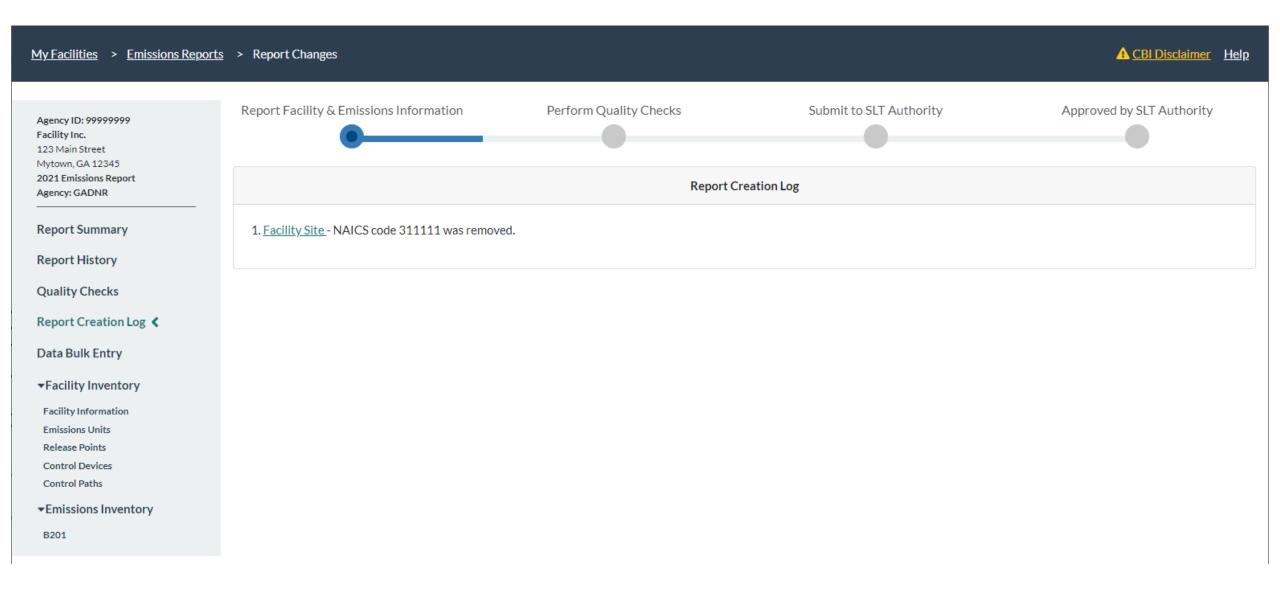
▼Emissions Inventory

▶ F1A

▶ F1B



CAERS Report Log Page



- Three Options for Data Entry
- Previous Year Data
- Bulk Download into Pre-formatted Excel Template
- File Attachments
- Report History & Log
- Report Summary Download

Agency ID:12345678 FACILITY INC

123 Main Street Mytown, GA 12345 2019 Emissions Report Agency: GA

Report Summary <

Report History

Quality Checks

Data Bulk Entry

▼Facility Inventory

Facility Information

Emissions Units

Release Points

Control Devices

Control Paths

▼Emissions Inventory

- ▶ F1A
- ▶ F1B
- ▶ PI01 ▶ VP01
- ▶ VP02
- ▶ VP02A
- ▶ VP02B
- L MDOOC

Report Facility & Emissions Information

Perform Quality Checks

Submit to SLT Authority

Approved by SLT Authority



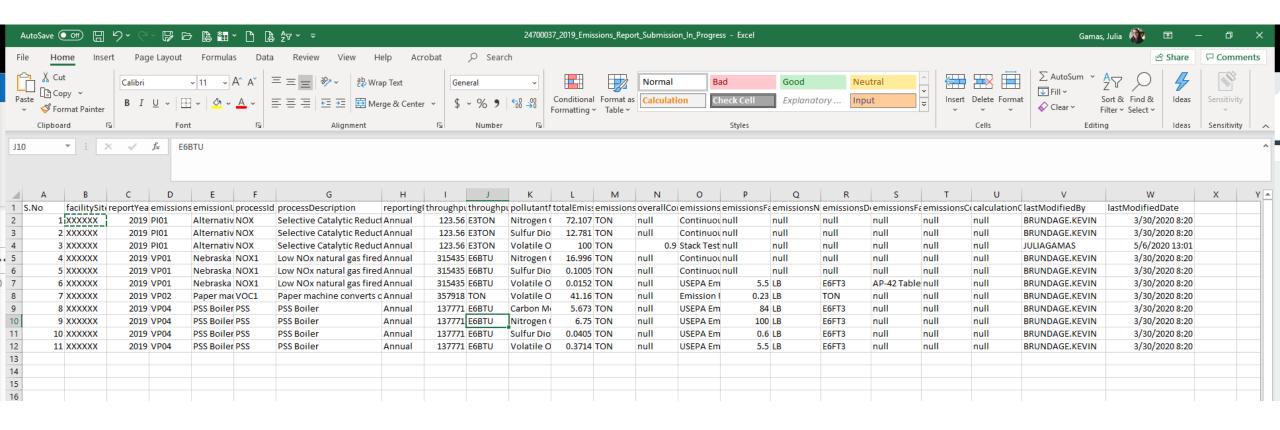
Pollutant	Туре	Fugitive Amount	Stack Amount	Units of Measure	2019 Reported Emissions	Previous Year Reported Emissions	Previous Submittal Year
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Volatile Organic Compounds	CAP	41.16	100.3866	Tons	141.5466	47.0617	2017
Total Emissions (Tons)					255.9946	161.5097	

Download Report

Preparer/NEI Certifier Attachments

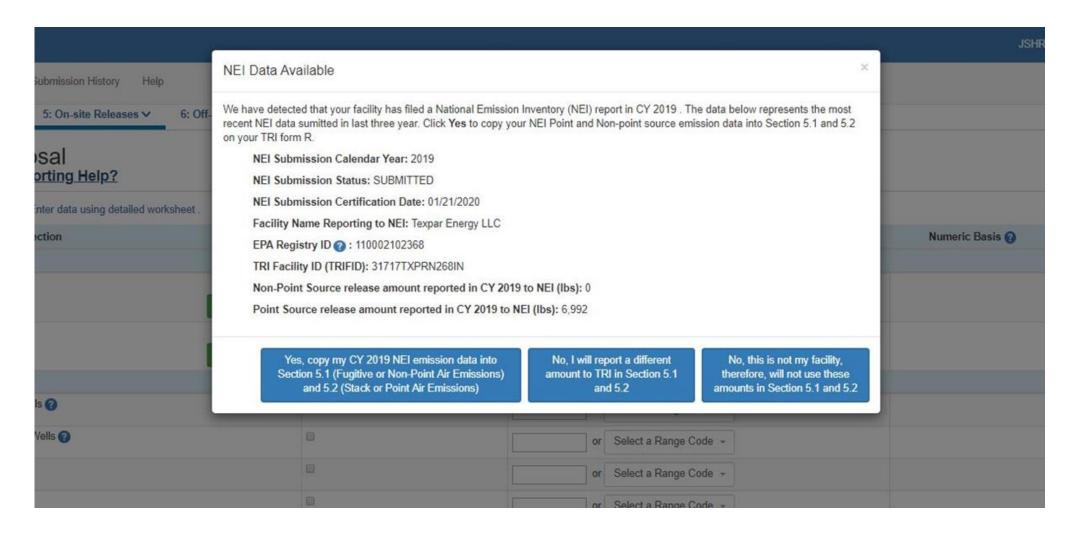
Attach Report Document

CAERS Example Summary Report



- Three Options for Data Entry
- Previous Year Data
- Bulk Download into Pre-formatted Excel Template
- File Attachments
- Report History & Log
- Report Summary Download
- Toxics Air Emissions Calculated and Added for TRI-MEweb

TRI-MEweb Page for Use of CAERS Data



Help and Resources

 User Guide and Training Videos (Forthcoming): https://www.epa.gov/e-enterprise/e-enterprise-combined-air-emissions-reporting-caer

Help from within CAERS

CAERS Help Resources Page

My Facilities

<u>Help</u>

Help Resources

Application Support

The Combined Air Emissions Reporting Form User Guide and Glossary will provide instructions and terminology necessary to navigate through the application from registration to report submission.

- Combined Air Emissions Reporting Form User Guide
- Combined Air Emissions Reporting Form Glossary

CDX Helpdesk Support

By Telephone: Person-to-person telephone support is available from 8:00 am to 6:00 pm (EST/EDT). Call our toll-free line at 888-890-1995 or our direct line at 970-494-5500 for International callers.

By E-mail: Send e-mail to Technical Support at helpdesk@epacdx.net.

By Live Chat: Chat with the CDX Help Desk to answer your questions.

Program Support

Note: Questions related specifically to emissions reporting and facility data should be referred to your SLT Authority.

GA DNR: Emissions.Inventory@dnr.ga.gov

Additional Resources

- State Emission Factor Compendium
- General Energy Conversions

This help page will be updated soon, as the SLT compendium and emission factor updates are now provided to the user in CAERS.

Help from CDX Help Desk

For CDX questions such as:

- Can't login/Password resets
- Repudiation

For CAERS application questions such as:

- Unexplained errors while using the CAERS application
- How to enter a specific piece of data
- How to navigate from one screen to another

CDX Help Desk:

- **Phone:** Person-to-person telephone support from 8:00 am to 6:00 pm (EST/EDT). Call our toll-free line at 888-890-1995 or our direct line at (970) 494-5500 for International callers.
- E-mail: Technical Support at helpdesk@epacdx.net

Program Questions

For program questions such as:

- What data to use to fix critical errors that appear in red.
- Selecting an appropriate SCC and/or emission factor.
- Finding out the unit capacity measure of a unit.
- The appropriateness of a calculation method for the emission being estimated.

POCS:

- Georgia Department of Natural Resources (GADNR): Emissions.Inventory@dnr.ga.gov
- Lincoln Lancaster, NE County Health Department (LLCHD): <u>Gary Bergstrom</u>, <u>gbergstrom@lincoln.ne.gov</u>
- Pima, AZ Department of Environmental Quality (PDEQ): <u>Janice Easley, Janice.easley@pima.gov</u>
- Rhode Island Department of Environmental Management (RIDEM): <u>Alexi.Mangili@dem.ri.gov</u>
- Washington, D.C. Department of Energy & Environment (DOEE): <u>air.quality@dc.gov</u>

Want to make CAERS better?

Please help us make CAERS better by sending us feedback to caers@epa.gov. Help us prioritize upcoming features and functionality.

To make your reporting easier:

- What else should CAERS be able to do?
- What data elements should CAERS collect to send to other SLT and EPA air programs?
- How should CAERS do this?
- How should CAERS not do this?

Questions